



NORTHERN ILLINOIS  
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# HALO FORMATION FROM WEAK SPACE CHARGE AND COLORED NOISE

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# WARM-FLUID KV MODEL OF A CYLINDRICAL DC BEAM

S. Lund and R. C. Davidson, *Phys. Plasmas* **5**, 3028 (1998);

S. Strasburg and R. C. Davidson, *Phys. Rev. E* **61**, 5753 (2000).

Equation of motion for radial orbits :

$$\ddot{x} + [\eta^2 - \sqrt{\Gamma}(1 - \eta^2) \cos \omega t] x = 0 \quad \text{for } x < 1.0;$$

$$\ddot{x} + x - \frac{1 - \eta^2}{x} = 0 \quad \text{for } x \geq 1.0;$$

rms- matched  
with  
“ $n = 1$ ” mode

$\eta$  is the tune depression,  $\Gamma$  is the ratio of the electrostatic energy in the collective mode to the electrostatic energy in the equilibrium beam,

and, for the lowest - order collective mode,  $\omega = \sqrt{2(1 + \eta^2)}$ .

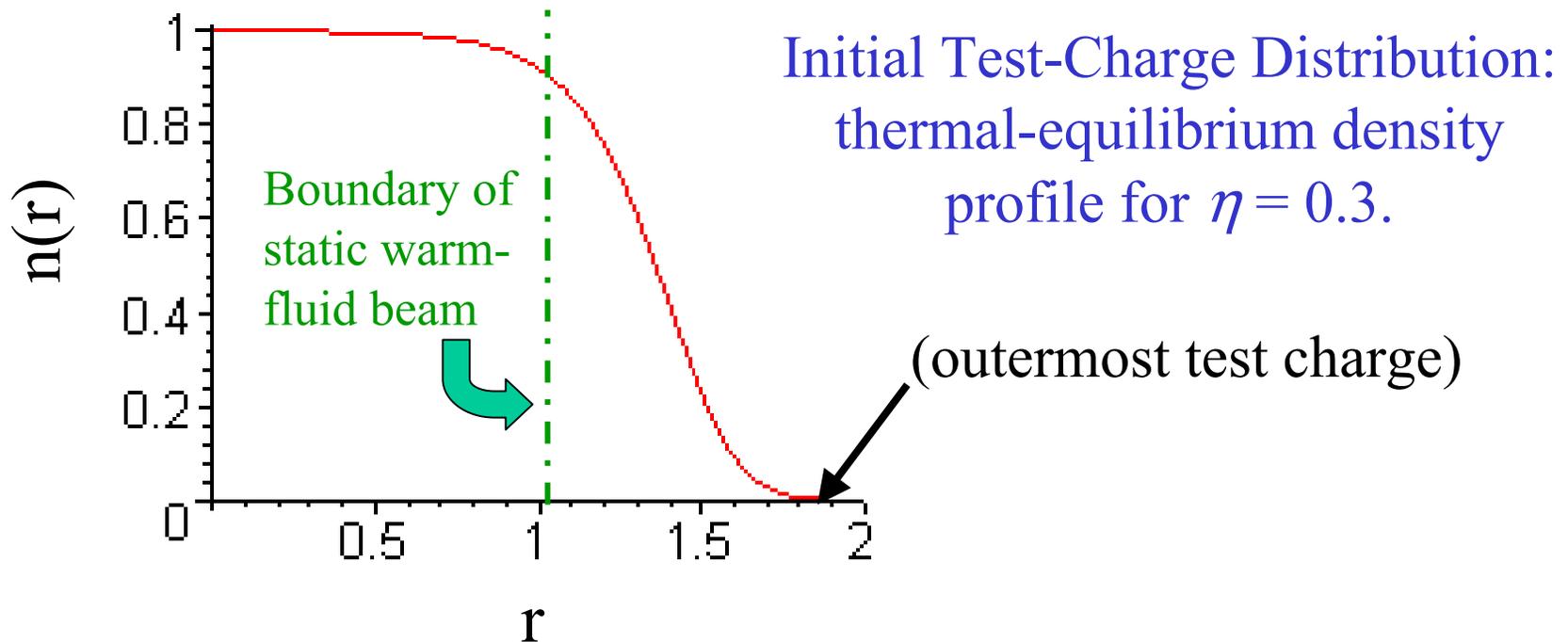
To include colored noise, we set  $\omega \rightarrow \omega + \delta\omega$  and  $\eta^2 \rightarrow \eta^2 + \omega\delta\omega$ .

Consequently, noise now appears in *both* the net focusing and collective oscillation frequencies.

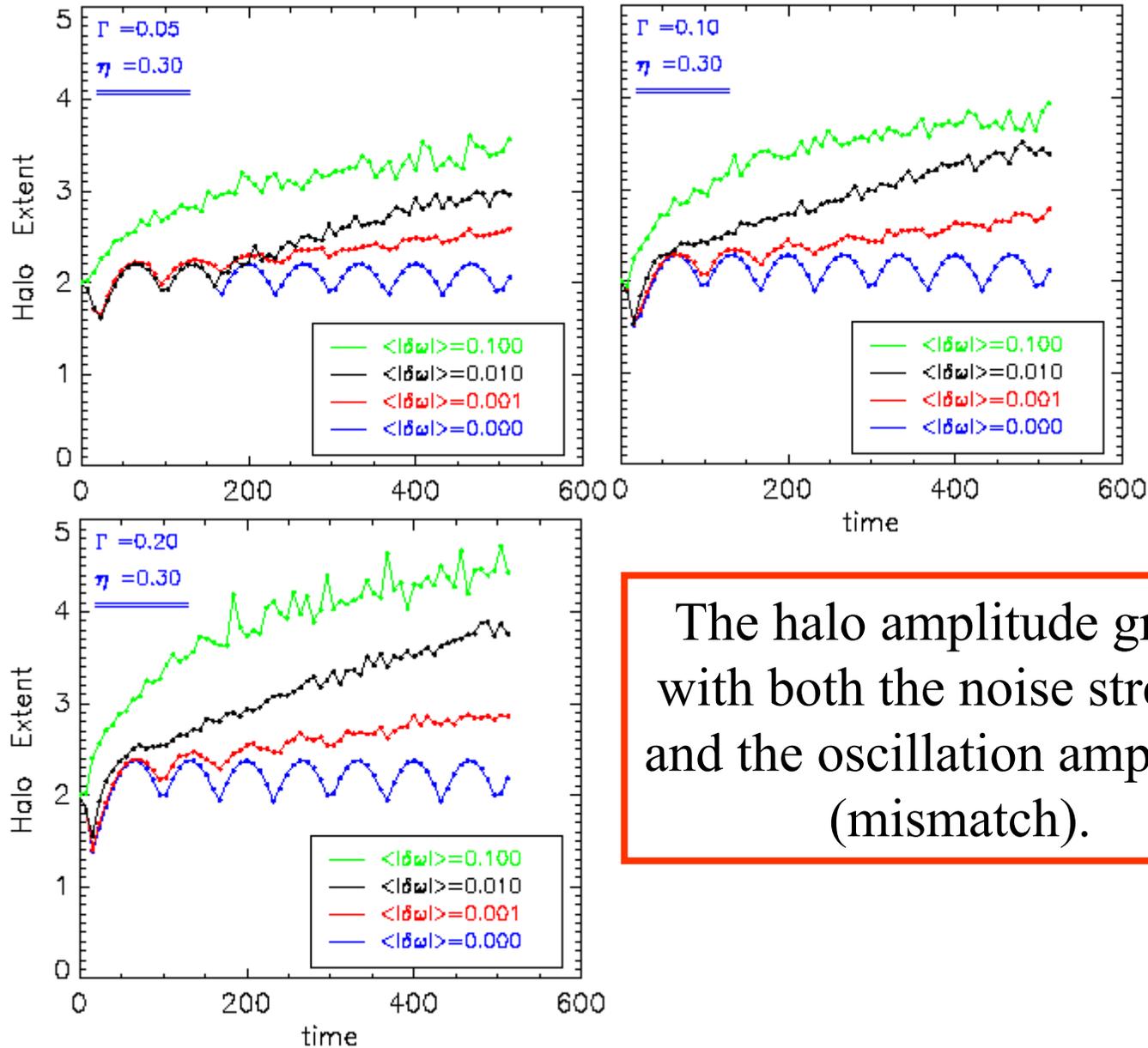
# INVESTIGATIVE STRATEGY

[I. V. Sideris and C. L. Bohn, *Phys. Rev. ST Accel. Beams* 7, 104202 (2004)]

Populate the oscillating warm-fluid beam with  $10^6$  test charges distributed according to the thermal-equilibrium density profile. Radial orbits have zero initial velocity. Assign each orbit its own noise. Integrate and track.



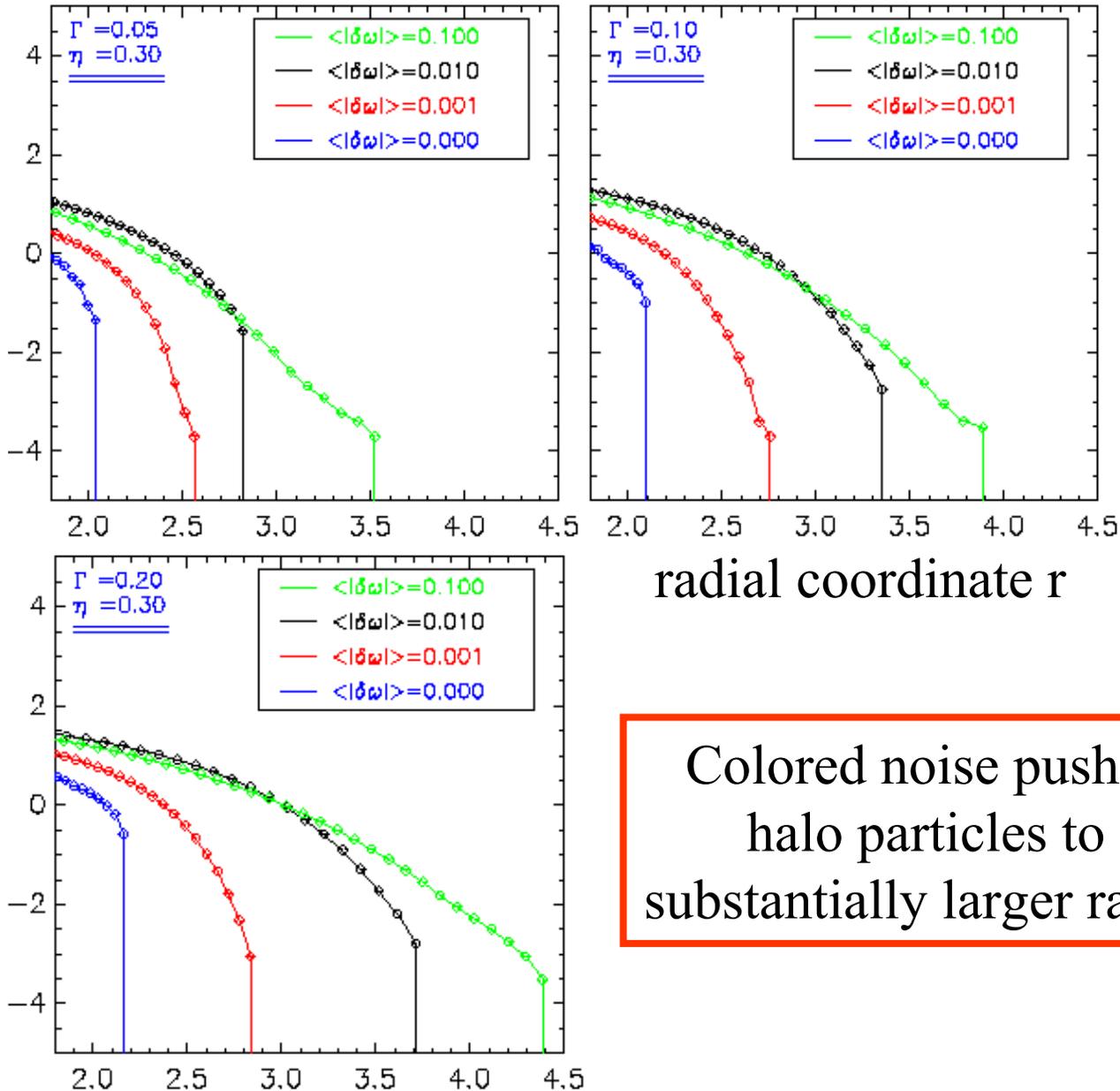
# HALO EXTENT vs. OSCILLATION AMPLITUDE



The halo amplitude grows with both the noise strength and the oscillation amplitude (mismatch).

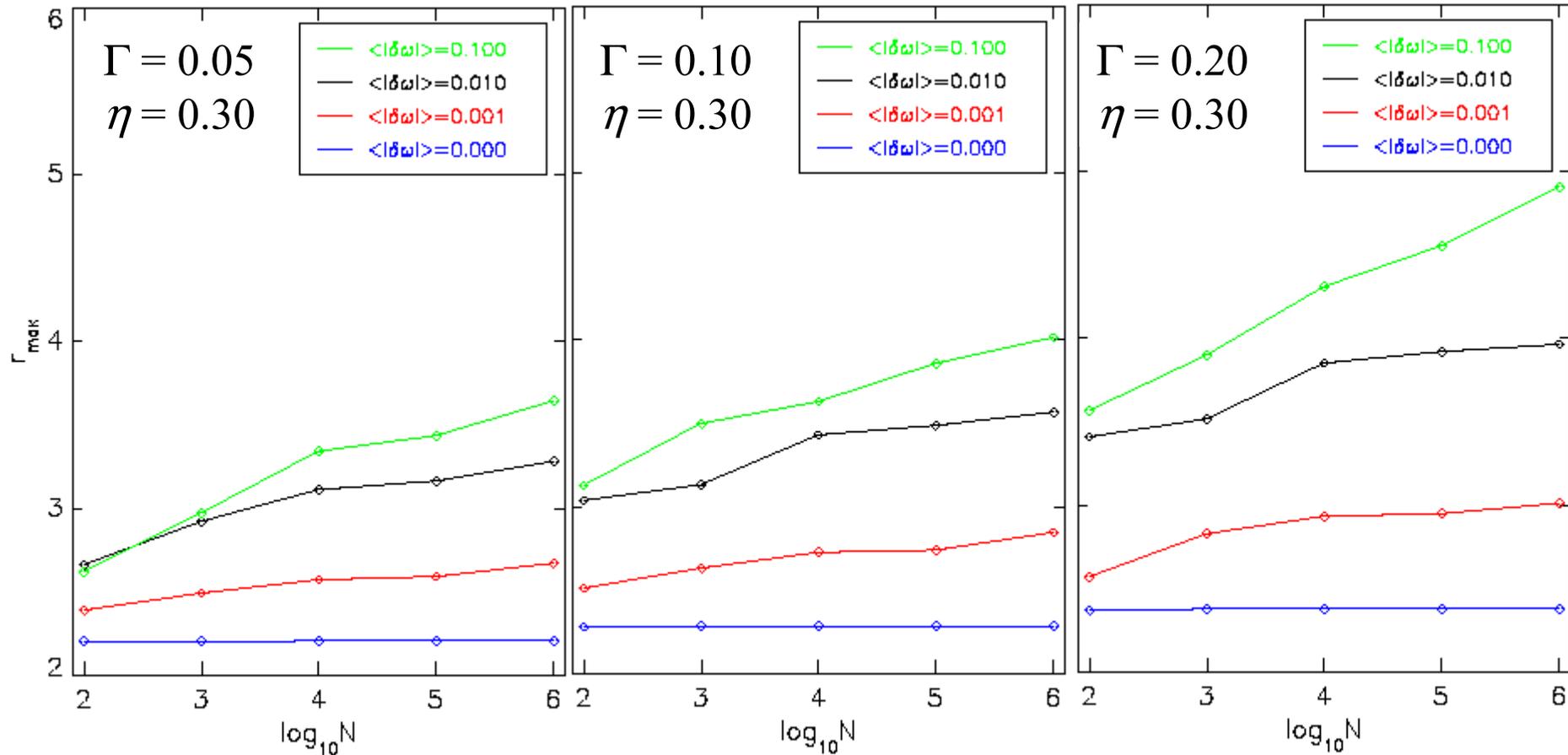
# HALO DENSITY PROFILE

$\log_{10}(\% \text{ Particles Lying Outside } r)$



Colored noise pushes  
halo particles to  
substantially larger radii!

# HALO AMPLITUDE vs. NUMBER OF PARTICLES



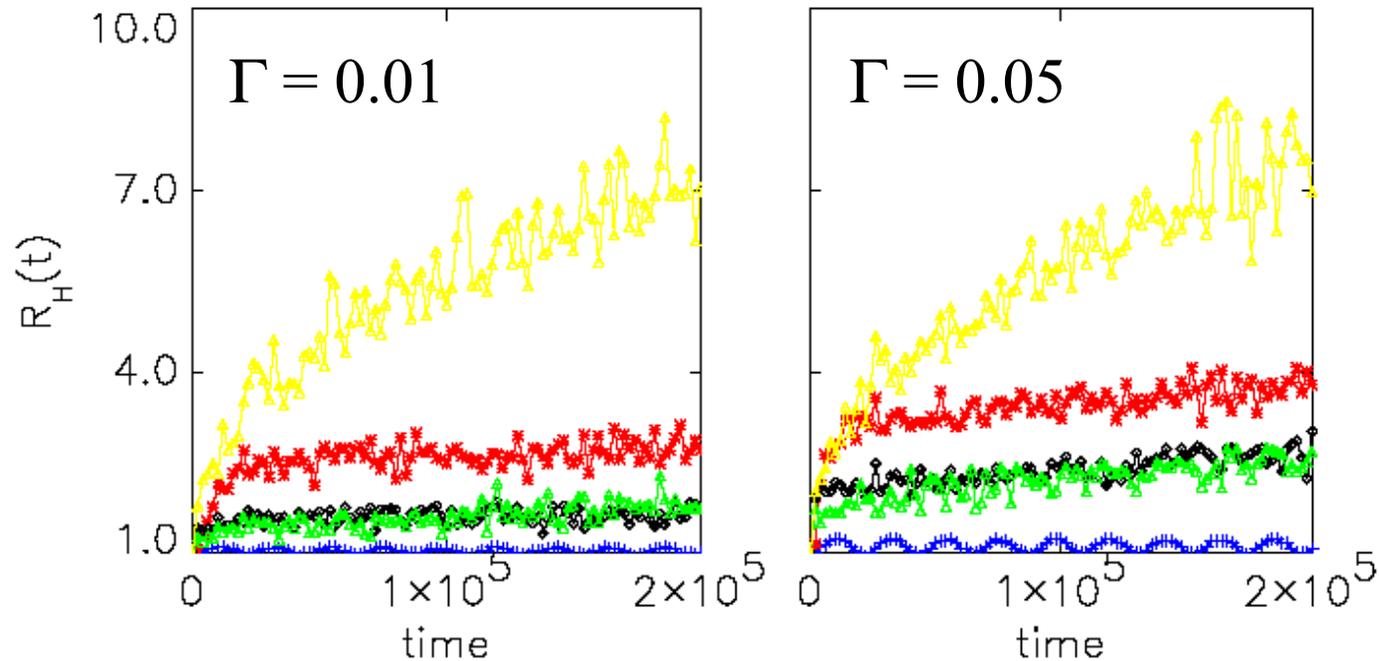
Regardless of noise strength or mismatch, the halo amplitude scales as  $\log_{10} N$ .

# SMALL SPACE CHARGE ACTING OVER A LONG TIME

Duration of Run:  $t = 2 \times 10^5$  units  $\sim 3,000$  Booster ring transits

1,000 Test Particles per Run, Space-Charge-Depressed Tune  $\eta = 0.95$ ,

Autocorrelation Time  $t_c = 80$  ( $\sim 8$  typical orbital periods)



Blue:  $\langle |\delta\omega| \rangle = 0$

Black:  $\langle |\delta\omega| \rangle = 10^{-3}$

Gold:  $\langle |\delta\omega| \rangle = 10^{-1}$

Green:  $\langle |\delta\omega| \rangle = 10^{-4}$

Red:  $\langle |\delta\omega| \rangle = 10^{-2}$

# WHY WORRY ABOUT BEAM HALO?

- Proton machines such as spallation-neutron-source drivers:
  - Need  $\square 1 \text{ nA m}^{-1} \text{ GeV}^{-1}$  beam loss for hands-on maintenance.
  - For 1 mA, 1 GeV beam, this is just  $\square 1 \text{ particle in } 10^6$  per meter.
- Electron machines such as energy-recovery linacs:
  - Need  $\square 1 \text{ }\mu\text{A}$  beam loss for machine and electronics protection.
  - For 100 mA beam (high- $P$  FELs), this is just  $\square 1 \text{ particle in } 10^5$ .

Comprehensive understanding of beam-halo formation is imperative!

- Standard Picture: Parametric resonance
  - Viewed as *the* fundamental mechanism of halo formation.
  - Predicts hard upper bound to halo amplitude.

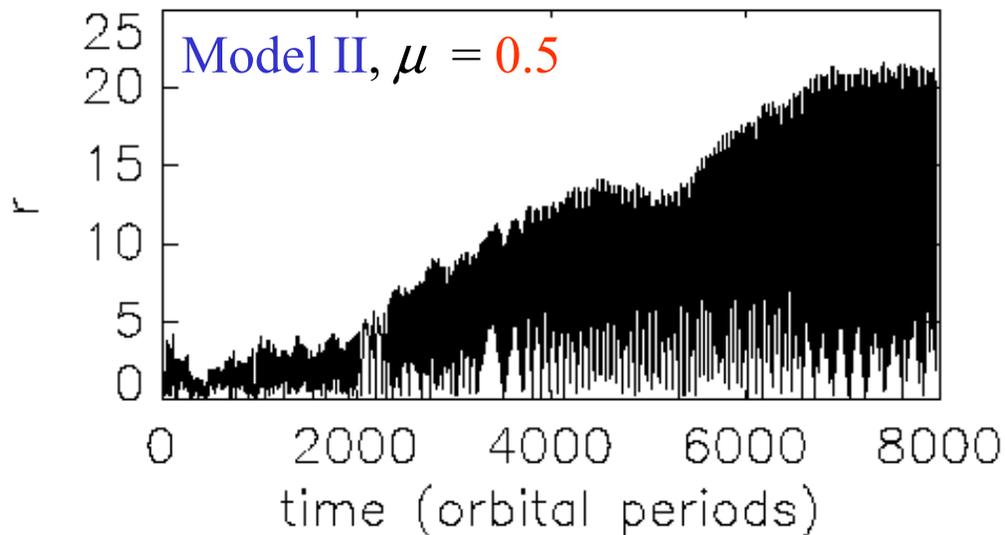
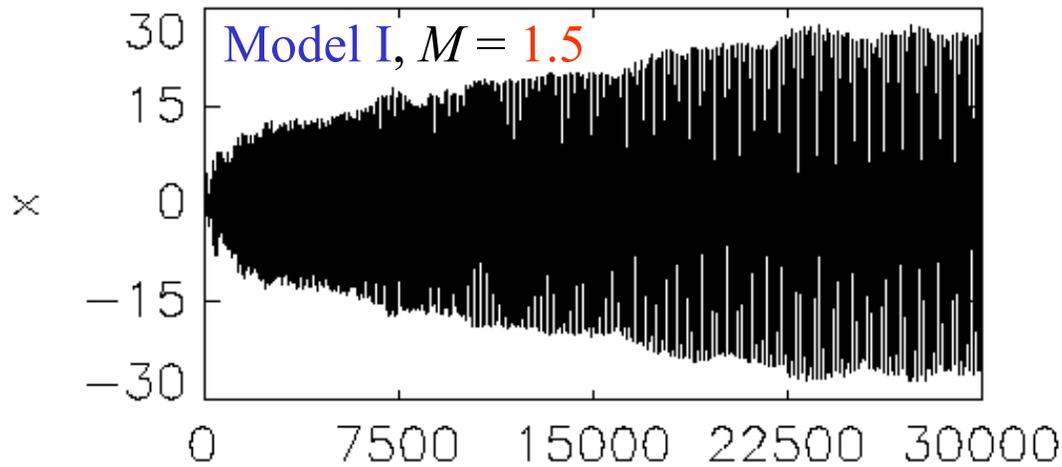
Question of the Hour: Is parametric resonance *really* everything?

# SUMMARY AND CONCLUSIONS

- **Noise**, an *unavoidable* phenomenon, can have *major* effects
  - expands the phase space
  - redistributes particles through phase space
  - affects Coulomb systems in general (e.g. galaxies, too!)
- **Details *do* matter** (halo being just one example)
  - control of rms properties is necessary but *not* sufficient
  - simulation codes must accommodate ‘modes’ at *all* scales
  - initial conditions are *critical* and must be specified accurately
- **Collective modes** affect dynamics *differently* from **rms mismatch**
  - phase-space tori are *much* more fragile
  - phase mixing is *much* faster and more voluminous

# LONG-TIME EVOLUTION OF LARGE-AMPLITUDE ORBITS

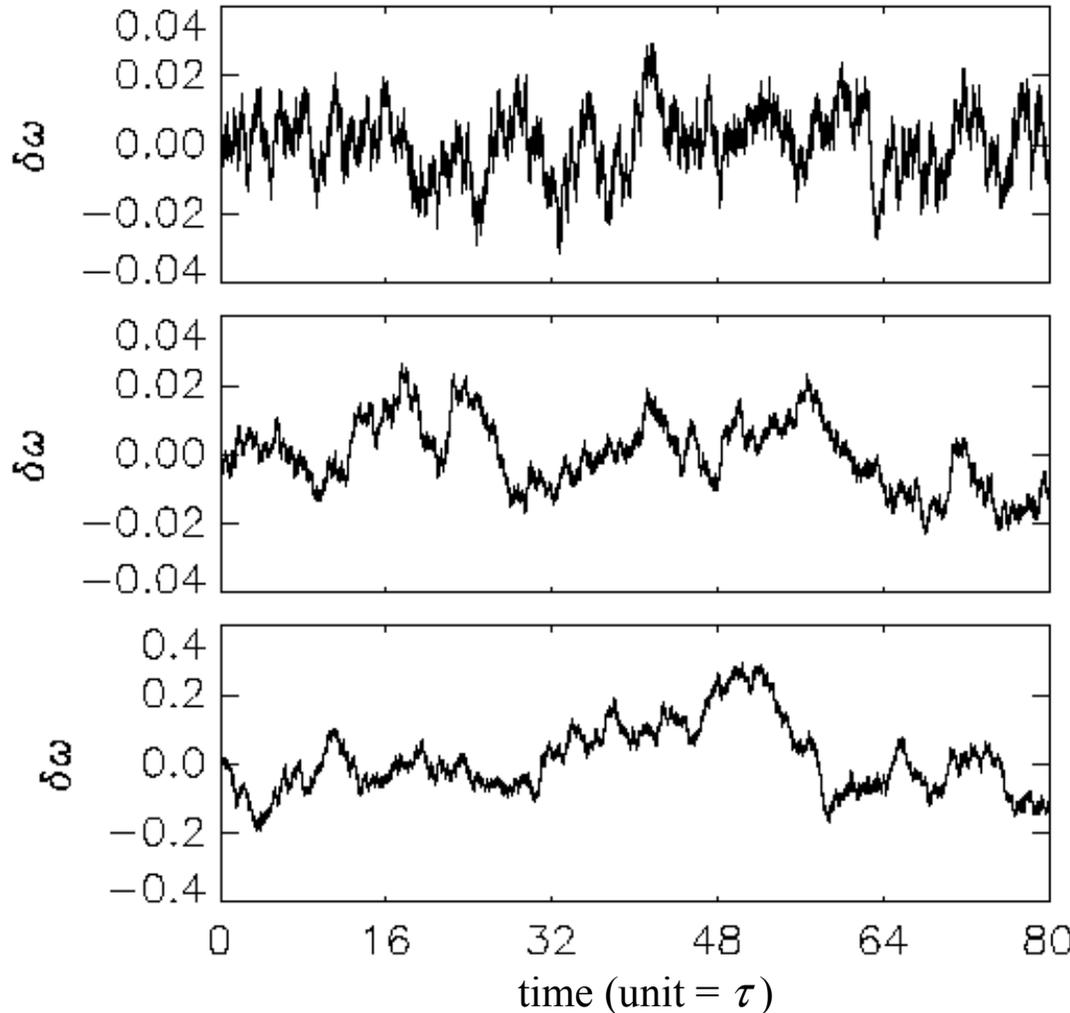
$$\langle |\delta\omega| \rangle = 0.01, t_c = 12\tau$$



Colored noise REMOVES  
the hard upper bound to  
the halo amplitude!  
This is important in, e.g.,  
storage rings that include  
time-dependent noise.

# EXAMPLE MANIFESTATIONS OF COLORED NOISE ALONG AN ORBIT

$$\langle \delta\omega(t) \rangle = 0, \quad \langle \delta\omega(t)\delta\omega(t_1) \rangle \propto \exp(-|t - t_1|/t_c),$$



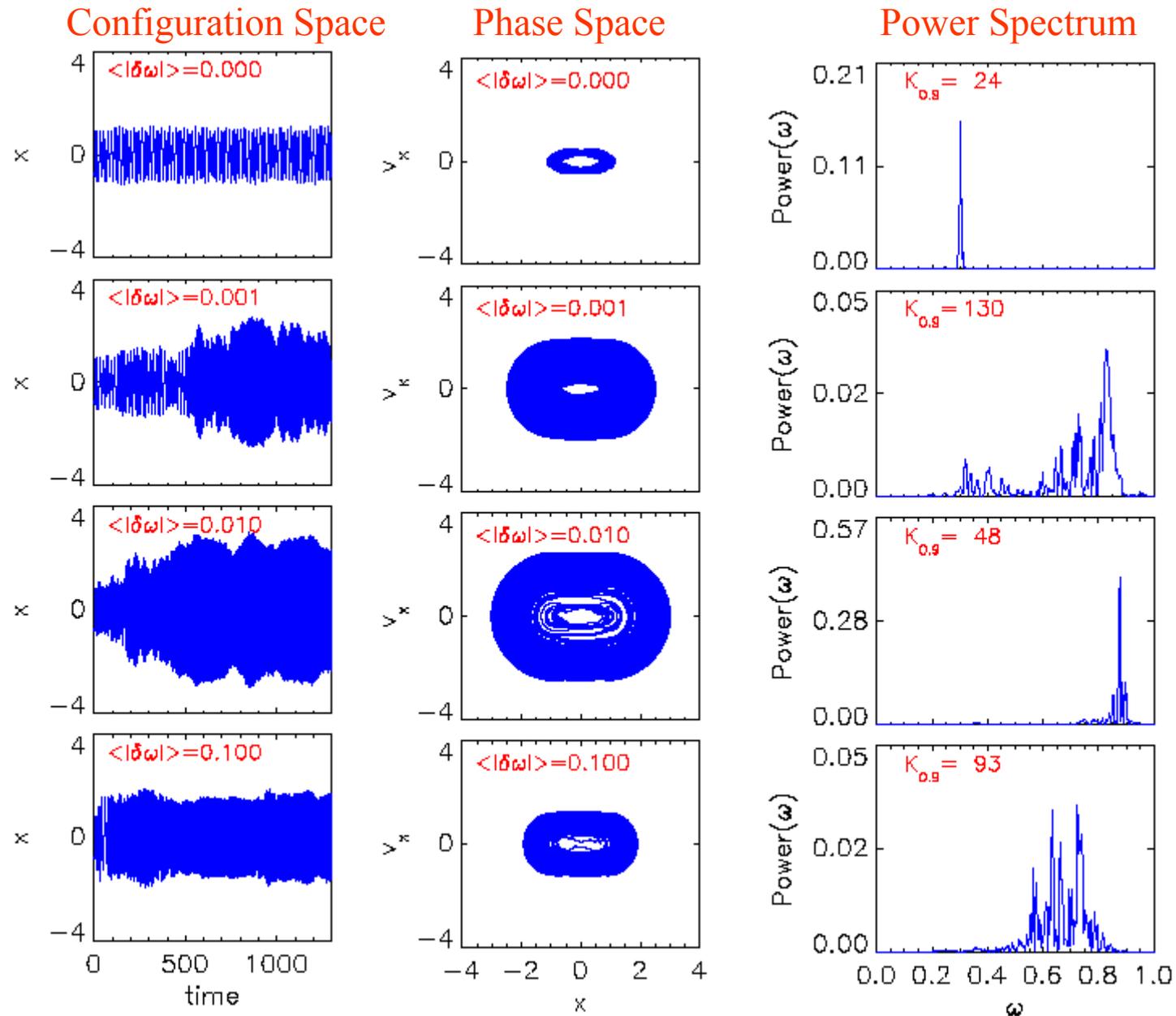
$$\left\{ \begin{array}{l} \langle |\delta\omega| \rangle = 0.01 \\ t_c = 1.5\tau \end{array} \right.$$

$$\left\{ \begin{array}{l} \langle |\delta\omega| \rangle = 0.01 \\ t_c = 12\tau \end{array} \right.$$

$$\left\{ \begin{array}{l} \langle |\delta\omega| \rangle = 0.1 \\ t_c = 12\tau \end{array} \right.$$

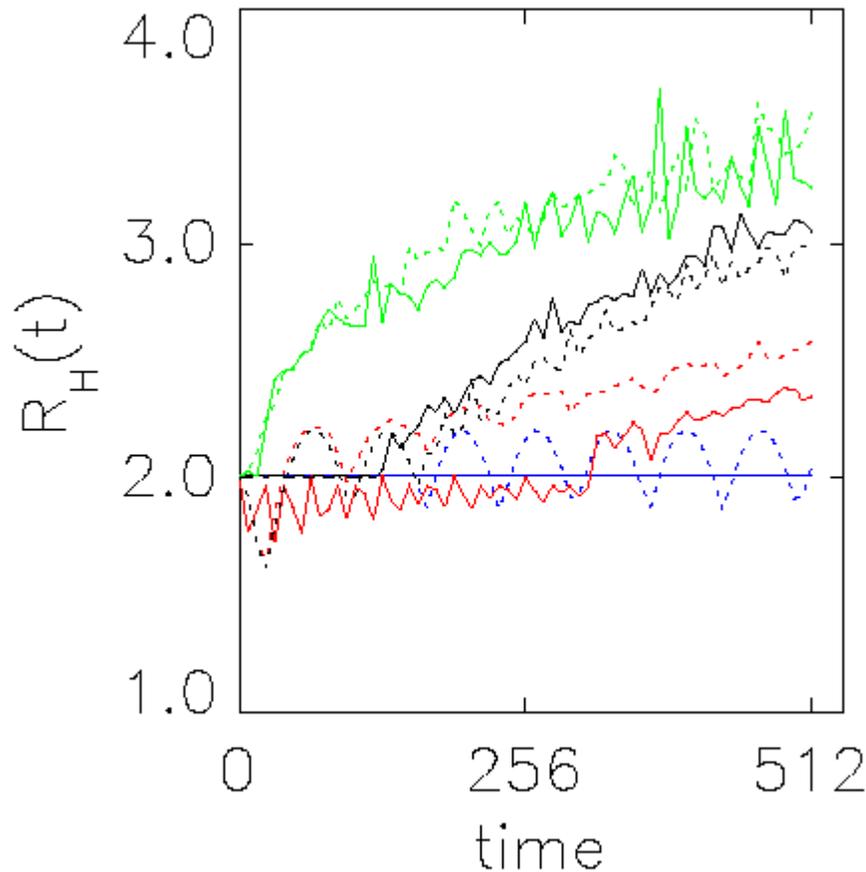
( $\tau$  = orbital period of a typical particle)

# ORBITAL CHAOTICITY [ $\Gamma=0.10$ , $x(0)=-0.733407$ ]



# INFLUENCE OF ANGULAR MOMENTUM ( $\Gamma=0.05$ , $t_c=80$ )

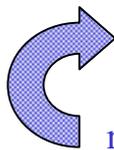
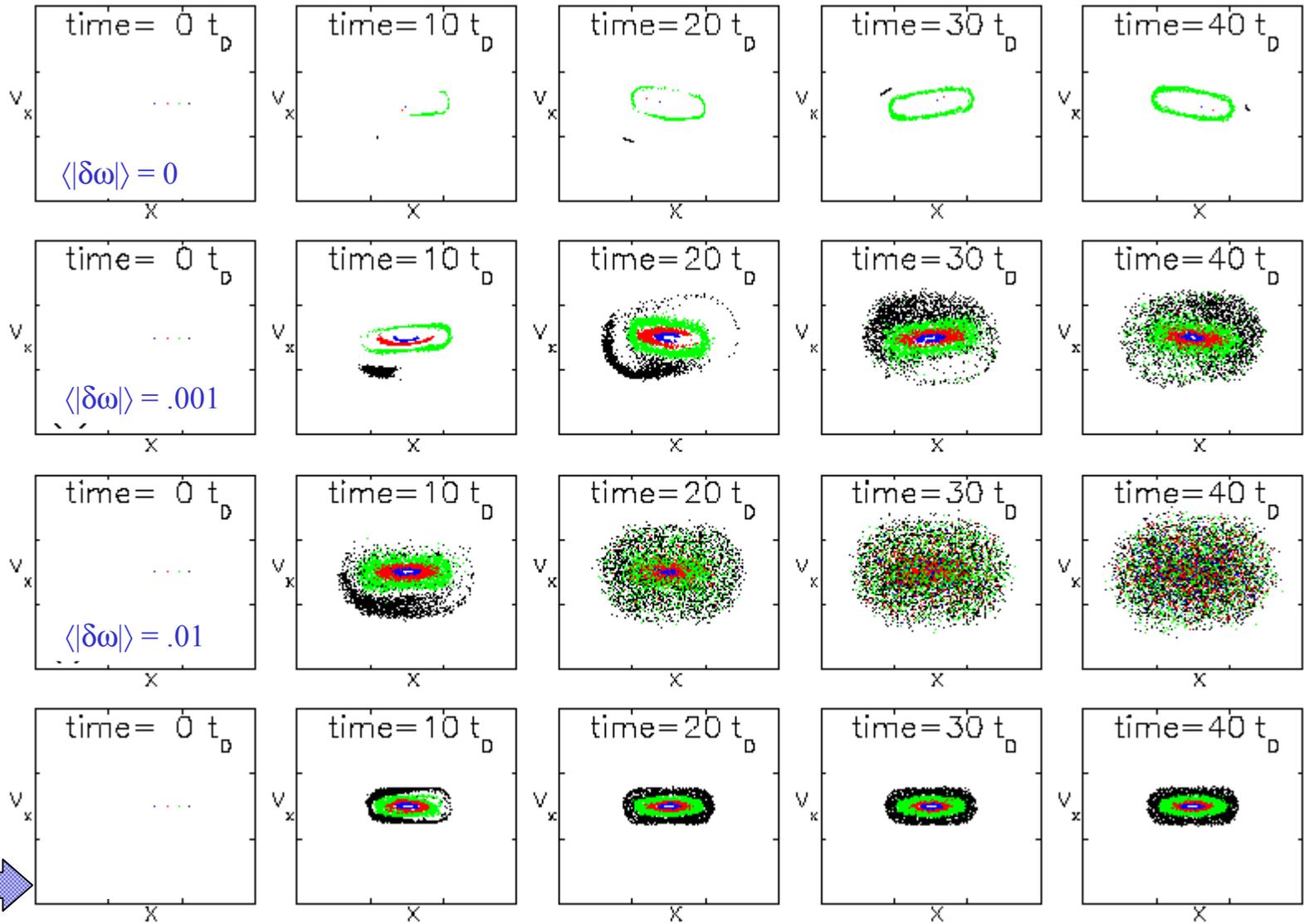
Radial ( - - - - ) vs. Initially Circular ( ——— ) Orbits



Blue:  $\langle|\delta\omega|\rangle = 0$   
Red:  $\langle|\delta\omega|\rangle = 0.001$   
Black:  $\langle|\delta\omega|\rangle = 0.01$   
Green:  $\langle|\delta\omega|\rangle = 0.1$

Angular momentum has little impact.  
Reason: Large halo orbits originate from the interior.

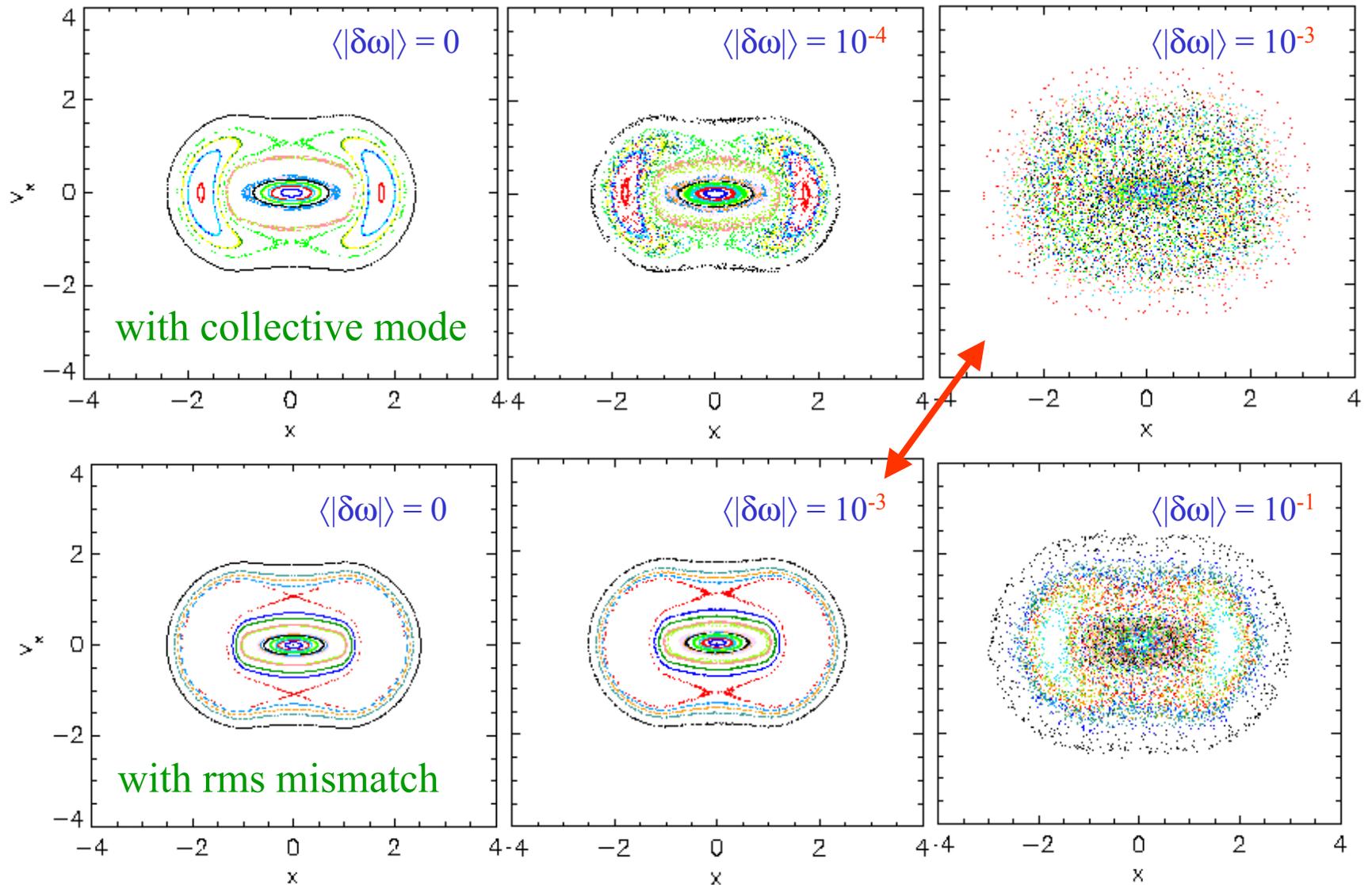
# COLORED NOISE AND PHASE MIXING ( $\Gamma=0.05, t_c=80$ )



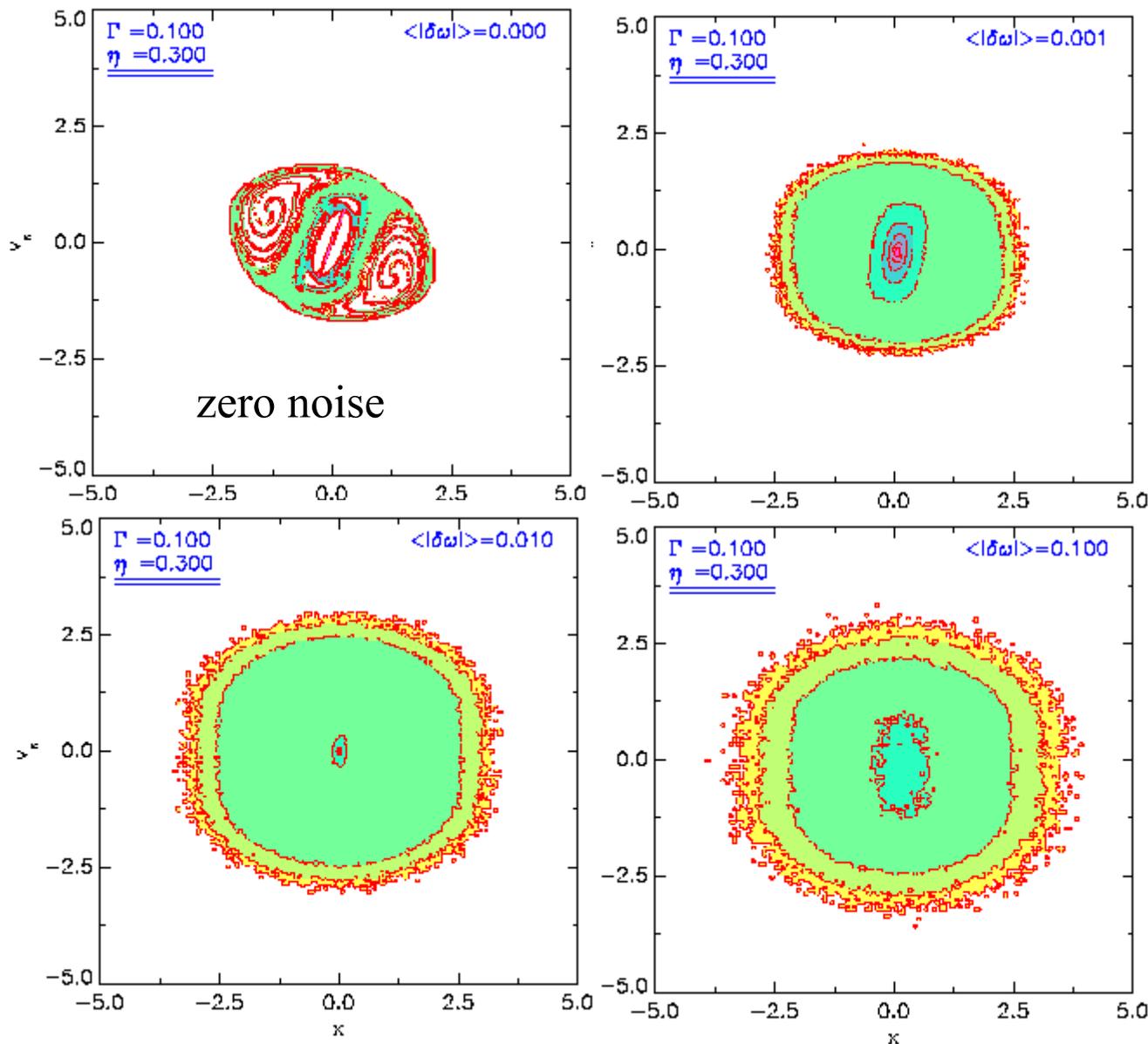
rms-mismatched:  $M = 1.1118, \langle |\delta\omega| \rangle = .01$

# COLLECTIVE MODES $\neq$ RMS MISMATCH!

POINCARÉ SECTIONS ( $\Gamma=0.05$ ,  $t_c=80$ , 18 orbits over  $\sim 250 t_D$ )



# $(x, v_x)$ PHASE SPACE: $\eta = 0.3, \Gamma = 0.1$



$t_c \cong 6\tau$ ;  $t_{run} = 40\tau$   
 $10^6$  test particles

Noise *expands*  
the phase space  
and “*smears*”  
particles through  
phase space.

# TINY OSCILLATION, TINY SPACE CHARGE, LONG RUN

